



## SUMIT CHANDHOK

Associate Partner (Designate)

AEKOM LEGAL

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New Delhi

### PRIMARY PRACTICE

General Corporate & Regulatory Affairs  
Corporate Restructuring  
Family Settlement & Succession Planning  
Corporate Governance, ESG, CSR &  
Business Compliance

### EDUCATION

LL.B., CCS University  
LLM (Pro), National Law University, Delhi  
Company Secretary (2012)

### PROFESSIONAL MEMBERSHIPS

Bar Council of Delhi  
Fellow Member, ICSI

Sumit Chandhok is a Law Graduate and a Fellow Member of the Institute of Company Secretaries of India, with over 14 years of extensive experience in corporate and securities laws. He advises on complex corporate structuring, cross-border inbound and outbound investment transactions under FEMA, and high-stakes regulatory and compliance matters.

He has significant experience in corporate restructurings, strategic transactions, and governance frameworks, and provides nuanced advisory to family offices and NBFCs on regulatory strategy, structuring, and risk mitigation.

## Representative Matters

### Family Settlement & Succession Planning

- Setting up of a Family Office for HNIs ranging from formulating Family Constitution to setting up of entities in overseas jurisdictions for meeting their family restructuring, diversification of business and investment objectives.

### General Corporate & Regulatory Affairs

- Conducted enterprise-wide legal due diligence across SEBI, corporate, labour, environmental and sector-specific regulatory frameworks for listed auto part manufacturer **RICO Auto Industries Limited**.
- Undertook corporate and RBI regulatory due diligence for an education-focused NBFC, including governance and compliance review for **Edgro Finance Pvt. Ltd.**
- Conducted sector-specific regulatory due diligence under SEBI Stock Broker and Portfolio Manager (PMS) Regulations for **Findoc Investmart Pvt. Ltd.**
- Undertook regulatory due diligence under RBI and NBFC frameworks for **Findoc Finvest Pvt. Ltd.**
- Providing Corporate and Securities Law Advisory, Corporate Restructuring, and advisory on Inbound and Outbound FEMA matters, and regulatory compliance services for NBFCs.
- Advisory and Opinions on complex Corporate Laws, Capital Market issues and Securities Law to diverse clientele including **Patanjali Foods Limited, Amber Enterprises Ltd., Max Healthcare Institute Limited, KEI Industries Limited**.
- Advised **Dhariwal Buildtech Ltd.** on comprehensive corporate law due diligence and end-to-end advisory support in anticipation of a proposed IPO.
- Securing Government Approval for setting up of a Branch Office for undertaking a Defence Project in India by a France-based conglomerate.
- Securing RBI approval(s) under FEMA Regulations ranging from waiver on ECB to non-adherence to timelines on repatriation or payment of proceeds.